

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	1
-------	---	----	---

**Complete if Known**

Application Number	10/727,409
Filing Date	December 4, 2003
First Named Inventor	Richard C. JOHNSON
Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	ORCL5881

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

**Examiner  
Signature**

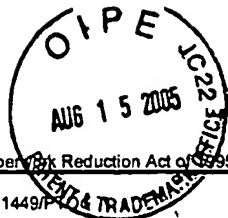
Date  
Considered

11/1/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/727,409
Filing Date	December 4, 2003
First Named Inventor	Richard C. Johnson
Art Unit	3621
Examiner Name	Not Assigned
Attorney Docket Number	ORCL5881

Sheet 1 of 1

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
An	1	International Search Report mailed October 14, 2003, in corresponding International Application No. PCT/US03/02111, filed Jan. 22, 2003 (4pgs).	
An	2	International Preliminary Examination Report mailed April 4, 2005, in corresponding International Application No. PCT/US03/02111, filed Jan. 22, 2003 (4pgs).	
An	3	Office Action mailed January 24, 2005, in parent application Serial No. 10/071,363, filed February 7, 2002.	
An	4	Final Office Action mailed July 12, 2005, in parent application Serial No. 10/071,363, filed February 7, 2002.	

Examiner  
SignatureDate  
Considered

11/1/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.


<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty. Docket No.:	ORCL5881 (5775CIP)
	Application No.:	TBA
	Filing Date:	December 4, 2003
	Applicant:	Johnson, Richard C.
	Group No.:	TBA
	Examiner:	TBA

**Other Documents**

*An*

No.	Title
1	Poe, Lanette et al. "DSML gives you the power to access your LDAP information as XML" JavaWorld (11/2000).
2	Oracle, "Oracle9iR2 Database Security for E-Business" pp. 1-26 (1/2002).
3	Gerck, Ed "Overview of Certification Systems: X.509, PKIX, CA, PGP & SKIP" THE BELL (7/2000).
4	Oracle "Oracle Identity Management" (9/2003).
5	Oracle "Net8 Naming: Moving to LDAP from Oracle Names and TNSNAMES.ORG (10/2003).
6	Oracle "Oracle 8i Security: New Features and Secure Solutions" pp 1-10 (11/1999).
7	Yost, Guy "e-Business in the Enterprise Leveraging Metadirectories for e-Business" Technical Support (5/2000).

*An*

Examiner Initial 	Date Considered 11/1/05
--	----------------------------